

Table 3 of Annexure B												
Monitoring of Utilization Certificate and Asset Cover (Disclosures and Monitoring by Debenture Trustee in compliance vide SEBI circular SEBI/ HO/ MIRSD/ CRADT/ CIR/ P/ 2020/230 dated November 12,												
Sr. No.	Name of the listed entity	ISIN	Issue Type (Public/Private placed listed)	Issue size (in ₹ crores)	Whether Secured/ Unsecured	Periodical status/ performance reports/ Quarterly Compliance reports/ Financial statements submitted by the listed entity (Yes/No)	Utilization Certificate			Asset cover Certificate		
							Q4 Mar 2022	Date of receipt of the certificate	Delay (if any) & reasons (including follow up done by debenture trustee)	Asset Cover Certificate provided by (debenture trustee/statutory auditor/other pls specify)	Asset cover ratio maintained	Date of Asset cover certificate
1	Shrem Infra Structure Private Limited	INE391V07018 INE391V07026	Privately Placed Listed	85	Secured	Yes	15-Nov-21	The issue proceeds were to be used toward acquisition of the units of Shrem InvITs as and when the issue of units of Shrem InvIT would open for subscription. It was informed to the debenture holder by issuer that as the initial private placement offer of the units of Shrem InvIT was opened only for third parties and not for subscription by the sponsor, Shrem InvIT expressed its inability to allot units to the issuer at that time and were thereafter issued to the issuer company after which the utilisation certificate dated 15 November 2021 was obtained by the Issuer delayed by 75 days.	Statutory auditor	2 times	28-Apr-22	Certificate received. Compliance with covenants not confirmed by Auditor in the certificate dated 28 April 2022
									Empanelled CA	2 times	30-Jun-22	
2	Muthoottu Mini Financiers Limited	INE101Q07961 INE101Q07979 INE101Q07987 INE101Q07995 INE101Q07AA1	Public	200	Secured	Yes	14-May-22	None	Statutory auditor	1.26	20-May-22	None
			Empanelled CA	1.26	29-May-22	None						
		INE101Q08159 INE101Q08167	Public	50	Unsecured	Yes	14-May-22	None	Statutory auditor	1.83	20-May-22	None
			Empanelled CA	1.83	29-May-22	None						
3	Loantap Credit Products Private Limited	INE0B4P07048 INE0B4P07055	Privately Placed Listed	20	Secured	Yes	09-Jun-22	None	Statutory auditor	1.27 times	09-Jun-22	None
									Empanelled CA	1.27 times	13-Jun-22	None
4	Marwadi Shares and Finance Limited	INE138I08018	Privately Placed Listed	45	Unsecured	Yes	10-Feb-22	None	Statutory auditor	5.88 times	20-Jun-22	None
5	SK Finance Limited	INE124N07564	Privately Placed Listed	150	Secured	Yes	30-Jun-22	None	Statutory auditor	-	20-May-22	Certificate not as per format of SEBI circular dated 12 November, 2020
									Empanelled CA	2.98 times	20-May-22	

Sr. No.	Name of the listed entity	ISIN	Issue Type (Public/Private placed listed)	Issue size (in ₹ crores)	Whether Secured/ Unsecured	Periodical status/ performance reports/ Quarterly Compliance reports/ Financial statements submitted by the listed entity (Yes/No)	Utilization Certificate		Asset cover Certificate			
						Q4 Mar 2022	Date of receipt of the certificate	Delay (if any) & reasons (including follow up done by debenture trustee)	Asset Cover Certificate provided by (debenture trustee/statutory auditor/other pls specify)	Asset cover ratio maintained	Date of Asset cover certificate	Delay (if any in submission) & reasons (including follow up done by debenture trustee)
6	Ugro Capital Limited	INE583D07182	Privately Placed Listed	15	Secured	Yes	24-May-22	None	Statutory auditor	1.52 times	24-May-22	Certificate as per format of SEBI circular dated 12 November, 2020 not certified by Statutory Auditor
									Empanelled CA	1.52 times	26-May-22	None
7	HG Infra Engineering Limited	INE926X07017	Privately Placed Listed	97	Secured	Yes	31-Jan-22	None	Debenture Trustee	1.1 times	30-Jun-22	
8	Muthoottu Mini Financiers Limited	INE101Q07AB9 INE101Q07AC7	Public	200	Secured	Yes	14-May-22	None	Statutory auditor	1.26	20-May-22	None
									Empanelled CA	1.26	29-May-22	None
9	Shrem Infra Structure Private Limited	INE391V07067 INE391V07075	Privately Placed Listed	274	Secured	Yes	05-Apr-22	None	Statutory auditor	2.1 times	28-Apr-22	Compliance with covenants not confirmed by Auditor
									Empanelled CA	2.1 times	30-Jun-22	
10	Pride Properties Private Limited	INE0L9G07010	Privately Placed Listed	8	Secured	Quarterly Compliance Report received. Financials statement not yet received	Not received	Follow up mails - 14/6/2022; 16/6/2022; 23/6/2022; 24/6/2022; 27/6/2022; 28/6/2022; 29/6/2022; 30/06/2022 multiple emails last dated 27/1/2023	Valuation report dated 10 Feb 2022 and the security cover is maintained.			None
11	PROVINCIAL FINANCE AND LEASING CO PRIVATE LIMITED	INE0L6807013	Privately Placed Listed	700	Secured	Yes	15-Jun-22	None	Statutory auditor	1.15 times	30-May-22	None
									Empanelled CA	1.15 times	29-Jun-22	None
12	Ramasigns Industries Limited	INE650D08013	Privately Placed Listed	7.2	Unsecured	Yes	10-May-22	None	Statutory auditor	5.38 times	28-May-22	None

\*\*Last updated - 6 March 2023